



Gau 2776
R. Ward
PATENT 3310
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Group 2700

Practitioner's Docket No. 6002-11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Markwitz et al.

Application No.: 09/419,475

Group No.: 2776

Filed: October 15, 1999

Examiner: Unknown

For: GUARD TOUR SYSTEM

Assistant Commissioner for Patents
Washington, D.C. 20231

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. section 1.97(b))**

**IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. section 1.97(b).

Date: December 30, 1999

R. Eric Gaum

Signature of Practitioner

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CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

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R. Eric Gaum

R. Eric Gaum
(type or print name of person certifying)



Attorney Docket No. 6002-11

PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: **Markwitz et al.**

Examiner: **2776**

Serial No.: **09/419,475**

Art Unit: **Unknown**

Filed: **October 15, 1999**

Date: **December 30, 1999**

For: **GUARD TOUR SYSTEM**

To: *The Honorable Commissioner
of Patents and Trademarks
Washington, D.C. 20231*

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97**

This Information Disclosure Statement Under 37 C.F.R. §1.97 is filed within three (3) months of the filing date of the above-identified patent application or prior to receipt of a first Office Action on the merits in connection with this patent application and is, therefore, considered timely under 37 C.F.R. §1.97(b).

According to the terms of 37 C.F.R. §1.97(g), this Information Disclosure Statement Under 37 C.F.R. §1.97 shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this Information Disclosure Statement Under 37 C.F.R. §1.97 shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13, at 25. This Information Disclosure Statement Under 37 C.F.R. §1.97 is made to comply with the duty of candor imposed on all individuals associates with the filing and prosecution of this patent application, as defined by 37 C.F.R. §1.56(c).

The nine (9) issued United States patents and one (1) non-patent literature document listed below and on the enclosed Forms PTO/SB/08A and PTO/SB/08B are submitted to the Examiner for consideration under 37 C.F.R. §§1.97 and 1.98 in connection with the above-identified patent application:

Applicant's reference AA, United States Patent No. 3,959,633 to Lawrence et al., issued May 25, 1976, for a "Security Guard Recording System."

Applicant's reference AB, United States Patent No. 3,990,067 to Van Dusen et al., issued November 2, 1976, for an "Electronic Security Tour System."

Applicant's reference AC, United States Patent No. 4,086,577 to Elgersma, issued April 25, 1978, for a "Guard Monitor System."

Applicant's reference AD, United States Patent No. 4,672,654 to Vanacore, issued June 9, 1987, for a "PBX Security System for Monitoring Security Guard Tours."

Applicant's reference AE, United States Patent No. 4,850,009 to Zook et al., issued July 18, 1989, for a "Portable Handheld Terminal Including Optical Bar Code Reader and Electromagnetic Transceiver Means for Interactive Wireless Communication with a Base Communications Station."

Applicant's reference AF, United States Patent No. 5,120,942 to Holland et al., issued June 9, 1992, for a "Portable Tour Monitor Device, Report Generating System and Programming Device Therefor."

Applicant's reference AG, United States Patent No. 5,467,074 to Pedtke, issued November 14, 1995, for a "Personal Security System with Transmitter Test Mode."

Applicant's reference AH, United States Patent No. 5,572,192 to Berube, issued November 5, 1996, for a "Personal Security System with Guard Tour Features."

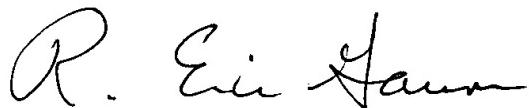
Applicant's reference AI, United States Patent No. 5,623,258 to Dorfinan, issued April 22, 1997, for a "Multi-Station Data Capture System."

Applicant's reference AJ, TIMEKEEPING SYSTEMS, INC., "Guard1 - Guard Tour System For Windows 3.1 and Windows 95/98 Version 1.2" User's Guide, TimeKeeping Systems, Inc., Cleveland, Ohio, September 1998 (86 pages).

The foregoing Information Disclosure Statement Under 37 C.F.R. §1.97 is based on information contained in the undersigned attorney's file as of the filing date of this statement and is inclusive of the best information known to the undersigned as of that date. Prompt consideration of the Information Disclosure Statement Under 37 C.F.R. §1.97 and the references cited therein by the Examiner is respectfully requested.

Respectfully submitted,

Oldham & Oldham Co., L.P.A.



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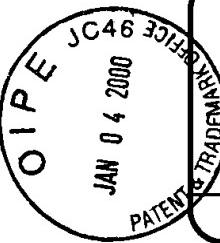
Attorney Docket No. 6002-11

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PTO/SB/08A (10-96)

App. [REDACTED] for use through 10/31/99. OMB 0651-0031
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

| Complete if Known | |
|------------------------|----------------|
| Application Number | 09/419,475 |
| Filing Date | 10/15/1999 |
| First Named Inventor | Barry Markwitz |
| Group Art Unit | 2776 |
| Examiner Name | Unknown |
| Attorney Docket Number | 6002-11 |

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U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

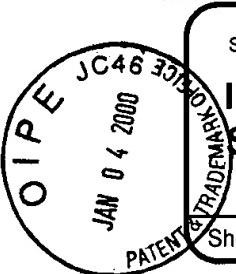
¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

| Complete if Known | |
|-------------------------------|----------------|
| Application Number | 09/419,475 |
| Filing Date | 10/15/1999 |
| First Named Inventor | Barry Markwitz |
| Group Art Unit | 2776 |
| Examiner Name | Unknown |
| Attorney Docket Number | 6002-11 |

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. **2** Applicant is to place a check mark here if English language Translation is attached.

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